

Certitude Wealth

Privacy Notice

Your Personal and Sensitive Personal Data – what is it?

Personal Data relates to a living individual who can be identified from that data; Sensitive Personal Data covers specific information such as health details, origins and opinions. Identification can be by the information alone or in conjunction with any other information in the Data Controller's possession or likely to come into such possession. The processing of Personal Data is governed by the General Data Protection Regulation 2016/679 (the "GDPR") and the Data Protection Act 2018.

Who I am?

Certitude Wealth is the Data Controller ("we", "us"). This means they decide how your Personal Data is processed and for what purposes.

How do I process your Personal Data?

I comply with our obligations under the GDPR by keeping Personal and Sensitive Data up to date; Sensitive Personal Data covers specific information such as health details, origins and opinions by storing and destroying it securely; by not collecting or retaining excessive amounts of data; by protecting Personal Data from loss, misuse, unauthorised access and disclosure and by ensuring that appropriate technical measures are in place to protect your data.

We use your Personal and Sensitive Data for the following purposes:

- to provide our advice and implementation service in respect of financial products, such as mortgages, life assurance, pensions and investments
- to keep in contact with our clients about products and services which we believe may be of value to them.

What is the legal basis for processing your Personal Data?

Article 6 Processing

- Consent of the Data Subject; where we are marketing to the public
- Processing is necessary for the performance of a contract with the Data Subject or to take steps to enter into a contract; where we have been engaged to provide financial services.

Article 9 Processing

- Explicit consent of the Data Subject; where we wish to tell people about events, news, services
- Processing is necessary for the establishment, exercise or defence of legal claims or where courts are acting in their judicial capacity;

Sharing your Personal Data

Your Personal and Sensitive Data will be treated as strictly confidential and will be shared only with Julian Harris Networks and providers of financial service products, third-party data storage providers and legal or regulatory authorities if obliged to do so.

I keep your Personal and Sensitive Data for no longer than reasonably necessary and we only retain your data for servicing the financial services provided where agreed and in case of any legal claims and for regulatory purposes.

Your rights and your Personal Data

Unless subject to an exemption under the GDPR, you have the following rights with respect to your Personal Data:

- The right to request a copy of your Personal and Sensitive Data which we hold about you
- The right to request that we correct any Personal or Sensitive Data if it is found to be inaccurate or out of date
- The right to request your Personal and Sensitive Data is erased where it is no longer necessary for us to retain such data
- The right to withdraw your consent to the processing at any time
- The right to request that the Data Controller provide the Data Subject with his / her Personal Data and where possible, to transmit that data directly to another Data Controller
- The right, where there is a dispute in relation to the accuracy or processing of your Personal Data, to request a restriction is placed on further processing
- The right to object to the processing of Personal Data
- The right to lodge a complaint with the Information Commissioners Office.

Further processing

If we wish to use your Personal and Sensitive Data for a new purpose, not covered by this Data Protection Notice, then we will provide you with a new notice explaining this new use prior to commencing the processing and setting out the relevant purposes and processing conditions. Where and whenever necessary, we will seek your prior consent to the new processing.

Cybercrime Warning

Please be aware that there is a significant risk posed by cyber fraud, specifically affecting emails enclosing bank account details. If you receive an email from Joanna Stickings (trading as) Certitude Wealth requesting or providing bank details, please contact your Adviser immediately for clarification. All payment requests should be verbally validated.

Contact Details

Office number: 01634 717281
E-mail address: joanna@certtiudewealth.co.uk

You can contact the Information Commissioners Office on 0303 123 1113 or via email <https://ico.org.uk/global/contact-us/email/> or at the Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire. SK9 5AF.

Consent

By signing this form, you are confirming that you have read this Data Protection Notice and that you are consenting to Certitude Wealth holding and processing your Personal Data for the following purposes (please tick the boxes where you are happy to grant consent):

Names: _____

Address: _____

Telephone: _____

Email address: _____

- to keep you informed about news, events, activities and services;
- to contact you with surveys about current events;
- send you offers of other products and services that we offer.

I consent to be contacted by:

E-mail Text Post Phone

You can grant consent to all the purposes; one of the purposes or none of the purposes. Where you do not grant consent, we will not be able to use your Personal Data for marketing purposes but may process the data in certain limited situations, such as where required to do so by law or to protect members of the public from serious harm.

If you do grant consent, please note you can withdraw your consent to all or any one of the above purposes at any time by contacting the Data Controller. Please note that any new processing of your Personal Data will cease once you have withdrawn consent, but this will not affect any Personal Data that has already been processed prior to this point nor our legal or regulatory responsibilities.

Client 1 Signature: _____ Date: ___/___/___

Client 2 Signature: _____ Date: ___/___/___